ARIZONA DEPARTMENT OF FINANCIAL INSTITUTIONS

In the Matter of the Mortgage Broker License of:

Thomas James Capital, Inc. 2159 McCulloch Blvd #4 Lake Havasu City, AZ 86403

NOTICE OF LICENSE EXPIRATION

Statistics :

The Superintendent of Financial Institutions for the State of Arizona, (the "Superintendent"), finds, pursuant to Arizona Revised Statutes ("A.R.S.") Section 6-904(B), that mortgage broker license #0908787 for Thomas James Capital, Inc., is expired. Specifically, A.R.S. § 6-904(B) states, "A licensee shall pay the renewal fee on or before September 30. Licenses not renewed by September 30 are suspended and the licensee shall not act as a mortgage broker until the license is renewed or a new license is issued pursuant to this article. A person may renew a suspended license by paying the renewal fee plus twenty-five dollars for each day after September 30 that a license renewal fee is not received by the Superintendent and making application for renewal as prescribed by the Superintendent. Licenses which are not renewed by October 31 expire. A license shall not be granted to the holder of an expired license except as provided in this article for the issuance of an original license." Thomas James Capital, Inc. did not renew its license by October 31.

Pursuant to A.R.S. § 6-903(A), "A person shall not act as a mortgage broker if he is not licensed under this article." Thomas James Capital, Inc. may not transact business in Arizona as a mortgage broker until such time that Thomas James Capital, Inc. is licensed. Transacting business as a mortgage broker without a license is a violation of statutes and subject to administrative action by the Superintendent. Pursuant to A.R.S. § 6-132, the Superintendent may assess a civil money penalty of up to five thousand dollars (\$5,000.00) per day per violation.

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1	DATED this day of	
2	Felecia A. Rotellini	
3	Superintendent of Financial Institutions	
4	By: William	
5	Robert D. Charlton	
6	Assistant Superintendent of Financial Institutions	
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	ORIGINAL OF THE NOTICE OF LICENSE EXPIRATION filed this 2019 day of 1010mber 2008	
## (1 1 1 m 8 m	<u>2010 day of 1010mbon</u> ; 2008	AS ES
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10	Felecia A. Rotellini Superintendent of Financial Institutions	
	Arizona Department of Financial Institutions	
11	Attn: Susan Longo	
12	2910 N. 44th Street, Suite 310 Phoenix, AZ 85018	
13	I nochix, AZ 65016	
14	COPY of the foregoing mailed/delivered same date to:	
15	Thomas Beadel, President	
16	Thomas James Capital, Inc. 2159 McCulloch Blvd #4	
	Lake Havasu City, AZ 86403	
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18	By: Susan Longo	
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